

Format of holding of specified securities

1. Name of Listed Entity: NEW MOUNT TRADING & INVESTMENT CO LTD. (CIN:L67120WB1975PLC030153)
2. Scrip Code - Name of Scrip :- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2023
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration :** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether company has equity shares with differential voting rights?		No
7 Whether the Listed Entity has any significant beneficial owner?	Yes	No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



NEW MOUNT TRADING & INVESTMENT CO LTD.
Table I - Summary Statement holding of specified securities as on 30/09/2024.

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	No of shares underlying convertible securities (including Warrants) (X)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg: x	Class eg: y	Total				No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter group	9	4422166	0	0	4422166	47.9723	4422166	0	47.9723	0	0	0	0	0.0000	4320666
(B)	Public	382	4796000	0	0	4796000	52.0277	4796000	0	52.0277	0	0	0	0	0.0000	325700
(C)	Non Promoter - Non Public															
(C1)	Shares underlying DRs						NA									
(C2)	Shares held by Employee Trusts															
	Total	391	9218166	0	0	9218166	100.0000	9218166	0	100.0000	0	0	0	0		4646366



(1)	Category & Name of the Shareholders (I)	Entity type i.e. OR promoter group entity (except promoter)	PAN (II)	Nos of shareholders (III)	No. of fully paid up equity shares held (IV)	Party paid-up equity shares held (V)	Nos of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Number of Voting Rights held in each class of securities (X)			Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									Class	x	Class y	Total	Total % of Voting rights	No. (a)	As a % of total shares held (b)	
(a)	Individuals/Hindu undivided Family			9	4422166	0	0	4422166	47.9723	0	47.9723	0	0.0000	0	0.0000	4320666
	DEEPIKA AGRAHARI	Promoter	AAFPA2354J	1	1887467	0	0	1887467	20.4755	0	20.4755	0	0.0000	0	0.0000	1887467
	NEELIMA GUPTA	Promoter	AAFPG6861J	1	1886800	0	0	1886800	20.4683	0	20.4683	0	0.0000	0	0.0000	1886800
	JAMNOTRI GUPTA	Promoter	AGTPG3214H	1	430000	0	0	430000	4.6647	0	4.6647	0	0.0000	0	0.0000	430000
	VIDUP AGRAHARI	Promoter	AANPA1536C	1	100000	0	0	100000	1.0848	0	1.0848	0	0.0000	0	0.0000	100000
	DEEPIKA AGRAHARI	Promoter	AFAPR9048E	1	100000	0	0	100000	1.0848	0	1.0848	0	0.0000	0	0.0000	100000
	MANJU RUNGTA	Promoter	ANUP KUMAR RUNGTA (HUF)	1	6000	0	0	6000	0.0651	0	0.0651	0	0.0000	0	0.0000	6000
	ANUP KUMAR RUNGTA	Promoter	AAHHA4752Q	1	1500	0	0	1500	0.0163	0	0.0163	0	0.0000	0	0.0000	1500
	ANUP KUMAR RUNGTA	Promoter	ADIPR7156D	1	399	0	0	399	0.0043	0	0.0043	0	0.0000	0	0.0000	399
(b)	Central Government/State Government(s)															
(c)	Financial Institutions/Banks															
(d)	Any Other (specify)															
	Sub Total			9	4422166	0	0	4422166	47.9723	0	47.9723	0	0.0000	0	0.0000	4320666
(2)	Foreign Individuals (Non-Resident Individuals)/Foreign Individuals)															
(a)	Government															
(b)	Institutions															
(c)	Foreign Portfolio Investor															
(d)	Any Other (specify)															
(e)	Sub Total			0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)			9	4422166	0	0	4422166	47.9723	0	47.9723	0	0.0000	0	0.0000	4320666



NEW MOUNT TRADING & INVESTMENT CO LTD
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/03/2023



Category & Name of the Shareholders (i)	Nos of sharehold ers (ii)	No. of fully paid up equity shares held (iv)	Partly paid up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos shares held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X)+(XI)+(XII)+(XIII)	Number of Locked in shares (xi)		Number of shares pledged or otherwise encumbered (xii)	Sub-categorization of shares (xv) under Shareholding (No of shares) under			
							Class x	Class y	Total		No. (a)	As a % of total shares held (b)		Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)	
(1) PAN (ii) Institutions (Domestic)																	
(a) Mutual Funds																	
(b) Venture Capital Funds																	
(c) Alternate Investment Funds																	
(d) Banks																	
(e) Insurance Companies																	
(f) Provident Funds / Pension Funds																	
(g) Asset Reconstruction Companies																	
(h) Sovereign Wealth Funds																	
(i) NBFCs Registered with RBI																	
(j) Other Financial Institutions																	
(k) Any Other (specify)																	
(2) Sub Total Institutions (Foreign)	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(a) Foreign Direct Investment																	
(b) Foreign Venture Capital Investors																	
(c) Sovereign Wealth Funds																	
(d) Foreign Portfolio Investors Category I																	
(e) Foreign Portfolio Investors Category II																	
(f) Overseas Depositories (holding DRs) (balancing figure)																	
(g) Any Other (specify)																	
Sub Total Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(3) Central Government / President of India																	
(a) State Government / Governor																	
(b) State Government / Governor																	
(c) Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter																	
Sub Total Non-Institutions Associate Companies / Subsidiaries	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
(4) Non-Institutions Associate Companies / Subsidiaries																	
(a)																	

Category & Name of the Shareholders (i) Directors and their relatives (excluding independent directors and nominee directors) Key Managerial Personnel Relatives of Promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category) Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust Investor Education and Protection Fund Authority Resident Individuals holding nominal share capital upto Rs. 2 lakhs Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs Non Resident Indians (NRIs) Foreign National Foreign Company / OCB Bodies Corporate SHYAM BIRI WORKS LTD. Any Other (specify) Sub Total Total Public Shareholding (B)=(1)+(B)(2)+(B)(3) Details of the shareholders acting as persons in Concert	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos shares held (vii)=(iv)+(v)+(vi)	Shareholding % as per SCRR, 1957, As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(vii)+(X) As a % of (A+B+C2)	Number of Locked in shares (xi)		Number of shares pledged or otherwise encumbered (xii)	Sub-categorization of shares (xv) Shareholding (No of shares) under				
							Class x	Class y	Total		No. (a)	As a % of total shares held (b)		Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)		
(b)		272	417700	0	0	417700	4.5313	417700	0	417700	4.5313	0	0.0000	0	67900	0	0	0
(c)		81	4057650	0	0	4057650	44.0180	4057650	0	4057650	44.0180	0	0.0000	0	159050	0	0	0
(d)		1	228600	0	0	228600	2.4799	228600	0	228600	2.4799	0	0.0000	0	0	0	0	0
(e)		1	150000	0	0	150000	1.6272	150000	0	150000	1.6272	0	0.0000	0	0	0	0	0
(f)		1	100000	0	0	100000	1.0848	100000	0	100000	1.0848	0	0.0000	0	0	0	0	0
(g)		29	320650	0	0	320650	3.4785	320650	0	320650	3.4785	0	0.0000	0	98750	0	0	0
(h)		1	151400	0	0	151400	1.6424	151400	0	151400	1.6424	0	0.0000	0	0	0	0	0
(i)		382	4796000	0	0	4796000	52.0277	4796000	0	4796000	52.0277	0	0.0000	0	325700	0	0	0
(j)		382	4796000	0	0	4796000	52.0277	4796000	0	4796000	52.0277	0	0.0000	0	325700	0	0	0
(k)																		
(l)																		
(m)																		
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(x)																		
(y)																		
(z)																		

NEW MOUNT TRADING & INVESTMENT CO.LTD.

Table IV - Statement Showing shareholding pattern of the Non Promoter -

Public shareholder as on 30/09/2023

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (X)		Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held (Not applicable) (Not applicable) (XIV)
								No of Voting Rights	Total				
(1) Custodian / DR Holder		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0.0000	0	0	0



NEW MOUNT TRADING & INVESTMENT CO LTD.

Annexure

Table V - Statement showing details of significant beneficial owners as on 30/09/2023

Sr No	Details of the (SBO) (I)			Details of the registered owner (II)			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect: Whether by virtue of: (III)				Date of creation / acquisition of significant beneficial interest (IV)	
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control		Exercise of significant influence
	1	DEEPIKA AGRAHARI		INDIAN	DEEPIKA AGRAHARI	AAFPA2354J	INDIAN	1887467	20.4755			
2	NEELIMA GUPTA		INDIAN	NEELIMA GUPTA	AAFPG6861J	INDIAN	1886800	20.4683				
	Total						3774267	40.9438				



NEW MOUNT TRADING & INVESTMENT CO LTD.

Table VI - Statement showing foreign ownership limits as on 30/09/2023

	Board approved limits	Limits utilized
As on shareholding date	0.0000	
As on 30/06/2023	0.0000	
As on 31/03/2023	0.0000	
As on 31/12/2022	0.0000	
As on 30/09/2022	0.0000	



NEW MOUNT TRADING & INVESTMENT CO LTD.						
Shareholding Summary as on 30/09/2023						
	As per Folio/Client Id				As per PAN	
Form	No of Records	% to Total Records	No of Shares	% to Total Shares	No of Records	No of Shares
PHYSICAL	367	93.8619	4571800	49.5955	367	4571800
NSDL	22	5.6266	4637366	50.3068	22	4637366
CDSL	2	0.5115	9000	0.0976	2	9000
TOTAL	391	100.0000	9218166	100.0000	391	9218166

