

Format of holding of specified securities

1. Name of Listed Entity: NEW MOUNT TRADING & INVESTMENT CO LTD. (CIN:L67120WB1975PLC030153)
2. Scrip Code - Name of Scrip :- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2023
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration :** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No
6 Whether company has equity shares with differential voting rights?		No
7 Whether the Listed Entity has any significant beneficial owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



NEW MOUNT TRADING & INVESTMENT CO.LTD.
Table I - Summary Statement holding of specified securities as on 30/06/2023

Category (I)	Nos of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=[(VII)+(X) As a % of (A+B+C2)]	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
							Class eq x	Class eq y	Total		No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A) Promoter & Promoter group	9	4422166	0	0	4422166	47.9723	4422166	0	47.9723	0	0	0	0	0.0000	4320666
(B) Public	382	4796000	0	0	4796000	52.0277	4796000	0	52.0277	0	0	0	0	0.0000	325700
(C) Non Promoter - Non Public															
(C1) Shares underlying DFRs						NA									
(C2) Shares held by Employee Trusts															
Total	391	9218166	0	0	9218166	100.0000	9218166	0	100.0000	0	0	0	0		4646366



NEW MOUNT TRADING & INVESTMENT CO.LTD
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/06/2023

(1)	Category & Name of the Shareholders (i)	Entity type, i.e. OR promoter group entity (except promoter)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of Shares underlying Depository Receipts (vi)	Total nos. Shares held (vii)=(iv)+(v)+(vi)	Shareholding % as per SCRR 1957, As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) (X)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)	
										Class	x	Class y		Total	No (a)			As a % of total shares held (b)
(a)	Individuals/Hindu undivided Family			9	4422166	0	0	4422166	47.9723	4422166	0	47.9723	0	0.0000	0	0.0000	4320666	
	DEEPIKA AGRAHARI	Promoter	AAFPA2354J	1	1887467	0	0	1887467	20.4755	1887467	0	20.4755	0	0.0000	0	0.0000	1887467	
	NEELIMA GUPTA	Promoter	AAFP68861J	1	1886800	0	0	1886800	20.4683	1886800	0	20.4683	0	0.0000	0	0.0000	1886800	
	JAMNOTRI GUPTA	Promoter	AGTPG3214H	1	4300000	0	0	4300000	4.6647	4300000	0	4.6647	0	0.0000	0	0.0000	4300000	
	VIDUP AGRAHARI	Promoter	AAANPA1536C	1	1000000	0	0	1000000	1.0848	1000000	0	1.0848	0	0.0000	0	0.0000	1000000	
	DEEPIKA AGRAHARI	Promoter		1	1000000	0	0	1000000	1.0848	1000000	0	1.0848	0	0.0000	0	0.0000	1000000	
	MANJU RUNGTA	Promoter	AFAPR9048E	1	100000	0	0	100000	0.1085	100000	0	0.1085	0	0.0000	0	0.0000	100000	
	ANUP KUMAR RUNGTA (HUF)	Promoter	AAHHA4752Q	1	6000	0	0	6000	0.0651	6000	0	0.0651	0	0.0000	0	0.0000	6000	
	ANUP KUMAR RUNGTA	Promoter		1	1500	0	0	1500	0.0163	1500	0	0.0163	0	0.0000	0	0.0000	1500	
	Central Government/State Government(s)	Promoter	ADIPR7156D	1	399	0	0	399	0.0043	399	0	0.0043	0	0.0000	0	0.0000	399	
(b)	Financial Institutions/Banks								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(c)	Any Other (specify)								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(d)	Sub Total			9	4422166	0	0	4422166	47.9723	4422166	0	47.9723	0	0.0000	0	0.0000	4320666	
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(a)	Government								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(b)	Institutions								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(c)	Foreign Portfolio Investor								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)								0.0000		0	0.0000	0	0.0000	0	0.0000	0	
(e)	Sub Total			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding of Promoter and Promoter Group (A)=(U)+(A)(Z)			9	4422166	0	0	4422166	47.9723	4422166	0	47.9723	0	0.0000	0	0.0000	4320666	



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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2023



Category & Name of the Shareholders (i)	PAN (ii)	Nos. of sharehold-ers (iii)	No. of fully paid up equity shares held (iv)	Partly paid up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos shares held (vii)=(iv)+(v)+(vi)	Sharehold- ing % calculate d as per SCRR, 1957) As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (Xii)	Number of shares pledged or otherwise encumbered (Xiii)	Sub-categorization of shares (XV) Shareholding (No of shares) under		
								No of Voting Rights	Total as a % of Total Voting rights	No of shares outstanding convertible securities (including Warrants) (x)				Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)
(1) Institutions (Domestic) Mutual Funds																
(b) Venture Capital Funds																
(c) Alternate Investment Funds																
(d) Banks																
(e) Insurance Companies																
(f) Provident Funds / Pension Funds																
(g) Asset Reconstruction Companies																
(h) Sovereign Wealth Funds																
(i) NBFCs Registered with RBI																
(j) Other Financial Institutions																
(k) Any Other (specify)																
(2) Sub Total Institutions (Foreign)		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0
(a) Foreign Direct Investment																
(b) Foreign Venture Capital Investors																
(c) Sovereign Wealth Funds																
(d) Foreign Portfolio Investors Category I																
(e) Foreign Portfolio Investors Category II																
(f) Overseas Depositories (holding DRs) (balancing figure)																
(g) Any Other (specify)																
(3) Sub Total Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0
(a) Central Government / President of India																
(b) State Government / Governor																
(c) Shareholding by Companies or Bodies Corporate where Central/State Government is a promoter		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0
(4) Sub Total Non-institutions Associate Companies / Subsidiaries		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0
(a) Subsidiaries																

Table III - Statement Showing Shareholding pattern of the Public shareholder as on 30/06/2023		No. of fully paid up equity shares held (IV)		Partly paid up equity shares held (V)		Nos. of shares underlying Depository Receipts (VI)		Shareholding % calculate as per SCRR, 1957, As a % of (A+B+C2) (VII)+(VI) (VIII)		Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of (A+B+C2)		Number of Locked in shares (XI)		Number of shares pledged or otherwise encumbered (XII)		Sub-categorization of shares (XV) Shareholding (No of shares) under	
Category & Name of the Shareholders (I) Directors and their relatives (excluding independent directors and nominee directors)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(VI)	Shareholding % calculate as per SCRR, 1957, As a % of (A+B+C2) (VIII)	No of Voting Rights			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XI)		Number of shares pledged or otherwise encumbered (XII)		Sub-categorization of shares (XV) Shareholding (No of shares) under				
								Class x	Class y	Total		No (a)	As a % of total shares held (b)	No. applicable (Not dematerialized form) (a)	As a % of total shares held in dematerialized form (b)	Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)		
(b)			417700	0	0	417700	4.5313	417700	0	417700	4.5313	0	0.0000	NA	67900	0	0	0		
(c)			4057650	0	0	4057650	44.0180	4057650	0	4057650	44.0180	0	0.0000	NA	159050	0	0	0		
(d)			228600	0	0	228600	2.4799	228600	0	228600	2.4799	0	0.0000	NA	0	0	0	0		
(e)			150000	0	0	150000	1.6272	150000	0	150000	1.6272	0	0.0000	NA	0	0	0	0		
(f)			100000	0	0	100000	1.0848	100000	0	100000	1.0848	0	0.0000	NA	0	0	0	0		
(g)		272	417700	0	0	417700	4.5313	417700	0	417700	4.5313	0	0.0000	NA	67900	0	0	0		
(h)		81	4057650	0	0	4057650	44.0180	4057650	0	4057650	44.0180	0	0.0000	NA	159050	0	0	0		
(i)	JAMNOTRI GUPTA	1	228600	0	0	228600	2.4799	228600	0	228600	2.4799	0	0.0000	NA	0	0	0	0		
(j)	YOGENDRA PRATAP SINGH	1	150000	0	0	150000	1.6272	150000	0	150000	1.6272	0	0.0000	NA	0	0	0	0		
(k)	DAYARAM VISHWAKARMA	1	100000	0	0	100000	1.0848	100000	0	100000	1.0848	0	0.0000	NA	0	0	0	0		
(l)	Non Resident Indians (NRIs)																			
(m)	Foreign National																			
(n)	Foreign Company / OCB																			
(o)	Bodies Corporate	29	320650	0	0	320650	3.4785	320650	0	320650	3.4785	0	0.0000	NA	98750	0	0	0		
(p)	SHYAM BIRI WORKS LTD.	1	151400	0	0	151400	1.6424	151400	0	151400	1.6424	0	0.0000	NA	0	0	0	0		
(q)	Any Other (Specify)																			
(r)	Sub Total	382	4796000	0	0	4796000	52.0277	4796000	0	4796000	52.0277	0	0.0000	NA	325700	0	0	0		
(s)	Total Public Shareholding (B)=(I)+(1)+(B)(2)+(B)(3)	382	4796000	0	0	4796000	52.0277	4796000	0	4796000	52.0277	0	0.0000	NA	325700	0	0	0		
(t)	Details of the shareholders acting as persons in Concert																			
(u)	SI No																			
(v)	Name																			
(w)	PAN																			
(x)	Shares																			
(y)	NIL																			

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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/06/2023

Category & Name of the Shareholders (I)	No of shareholders (II)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)		Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
							No of Voting Rights	% of Total Voting rights				
(1) Custodian / DR Holder							0	0	0	0	0	0
(2) Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021							0	0	0	0	0	0
Total Non Promoter - Non Public Shareholding (C)=(I)+(II)+(2)							0	0	0	0	0	0



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Annexure

Table V - Statement showing details of significant beneficial owners as on 30/06/2023

Sr No	Details of the (SBO)		Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:			Date of creation / acquisition of significant beneficial interest (IV)	
	(I)		(II)			Whether by virtue of: (III)				
	Name	PAN / Passport No. in case of a foreign national	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)		Exercise of significant influence
1	DEEPIKA AGRAHARI		DEEPIKA AGRAHARI	AAFPA2354J	INDIAN	1887467	20.4755			
2	NEELIMA GUPTA		NEELIMA GUPTA	AAFPG6861J	INDIAN	1886800	20.4683			
	Total					3774267	40.9438			



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Table VI - Statement showing foreign ownership limits as on 30/06/2023

	Board approved limits	Limits utilized
As on shareholding date	0.0000	
As on 31/03/2023	0.0000	
As on 31/12/2022	0.0000	
As on 30/09/2022	0.0000	
As on 30/06/2022	0.0000	



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Shareholding Summary as on 30/06/2023

Form	As per Folio/Client Id				As per PAN	
	No of Records	% to Total Records	No of Shares	% to Total Shares	No of Records	No of Shares
PHYSICAL	367	93.8619	4571800	49.5955	367	4571800
NSDL	22	5.6266	4637366	50.3068	22	4637366
CDSL	2	0.5115	9000	0.0976	2	9000
TOTAL	391	100.0000	9218166	100.0000	391	9218166

